



CONFORMITY ASSESSMENT BODY
EIDAS
TRUST SERVICE PROVIDERS
ISO 27001
LA ISO 27001
LI ISO 27001
RM ISO 27005

Audit Attestation for Zetes

Headquarter: 3 rue de Strasbourg – Haren – 1130 Bruxelles – Belgium

Reference: No. 1607-186_Attestation Letter_V1

Saint-Malo, 21 June 2021

To whom it may concern,

This is to confirm that LSTI has audited the CAs of Zetes Trust Service Provider without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number n°1607_186_Attestation Letter_V1 and consists of 7 pages.

Kindly find here below the details accordingly.

In case of any question, please contact:

LSTI Group
10 Avenue Anita Conti
35400 Saint-Malo, France
E-Mails: pbouchet@lsti.fr & cabforum@acab-c.com
Phone: +33 6 33 38 80 78

With best regards,

Armelle Trotin
Director

This attestation is based on the template version 2.8 as of 2021-04-21, that was approved for use by ACAB-c

<p>Identification of the conformity assessment body (CAB) and assessment organization:</p>	<p>LSTI¹ SAS, 10 Avenue Anita Conti, 35400 Saint-Malo – France registered under n°453867863</p> <p>LSTI Worldwide Limited, Clifton House – Fitzwilliam street lower Dublin 2 – Ireland registered under n°582309</p> <p>Accredited by COFRAC under registration number 5-0546 in accordance with EN ISO/IEC 17065:2012 and in accordance with the eIDAS EU Regulation art. 3 (18) and the ETSI EN 319 403 v2.2.2. Detailed scope at https://www.cofrac.fr/</p> <p>Attestation of accreditation link: https://tools.cofrac.fr/annexes/sect5/5-0546.pdf²</p> <p>COFRAC 52 Rue Jacques Hillairet 75012 Paris FRANCE</p> <ul style="list-style-type: none"> • Phone: +33 144688220
<p>Identification and qualification of the audit team:</p>	<ul style="list-style-type: none"> • Number of team members: 2 • Academic qualifications of team members: All team members have formal academic qualifications or professional training or extensive experience indicating general capability to carry out audits based on the knowledge given below and at least four years full time practical workplace experience in information technology, of which at least two years have been in a role or function relating to relevant trust services, public key infrastructure, information security including risk assessment/management, network security and physical security. • Additional competences of team members: All team members have knowledge of <ol style="list-style-type: none"> 1) audit principles, practices and techniques in the field of CA/TSP audits gained in a training course of at least five days; 2) the issues related to various areas of trust services, public key infrastructure, information security including risk assessment/management, network security and physical security; 3) the applicable standards, publicly available specifications and regulatory requirements for CA/TSPs and other relevant publicly available specifications including standards for IT product evaluation; and

¹ in the following termed shortly “CAB”

² URL to the accreditation certificate hosted by the national accreditation body

	<p>4) the Conformity Assessment Body's processes. Furthermore, all team members have language skills appropriate for all organizational levels within the CA/TSP organization; note-taking, report-writing, presentation, and interviewing skills; and relevant personal attributes: objective, mature, discerning, analytical, persistent and realistic.</p> <ul style="list-style-type: none"> • Professional training of team members: See "Additional competences of team members" above. Apart from that are all team members trained to demonstrate adequate competence in: <ul style="list-style-type: none"> a) knowledge of the CA/TSP standards and other relevant publicly available specifications; b) understanding functioning of trust services and information security including network security issues; c) understanding of risk assessment and risk management from the business perspective; d) technical knowledge of the activity to be audited; e) general knowledge of regulatory requirements relevant to TSPs; and f) knowledge of security policies and controls. • Types of professional experience and practical audit experience: The CAB ensures, that its personnel performing audits maintains competence on the basis of appropriate education, training or experience; that all relevant experience is current and prior to assuming responsibility for performing as an auditor, the candidate has gained experience in the entire process of CA/TSP auditing. This experience shall have been gained by participating under supervision of lead auditors in a minimum of four TSP audits for a total of at least 20 days, including documentation review, on-site audit and audit reporting. • Additional qualification and experience Lead Auditor: On top of what is required for team members (see above), the Lead Auditor <ul style="list-style-type: none"> a) has acted as auditor in at least three complete TSP audits; b) has adequate knowledge and attributes to manage the audit process; and c) has the competence to communicate effectively, both orally and in writing. • Special skills or qualifications employed throughout audit: none. • Special Credentials, Designations, or Certifications: All members are qualified and registered assessors within the accredited CAB. Auditors code of conduct incl. independence statement: Code of Conduct as of Annex A, ETSI EN 319 403 or ETSI EN 319 403-1 respectively.
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Identification and qualification of the reviewer performing audit quality management:	<ul style="list-style-type: none"> • Number of Reviewers/Audit Quality Managers involved independent from the audit team: 1 • The reviewer fulfils the requirements as described for the Audit Team Members above and has acted as an auditor in at least three complete CA/TSP audits.
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Identification of the trust service provider (TSP):	ZETES SA 3 rue de Strasbourg – Haren – 1130 Bruxelles – Belgium Registered number : BE0408425626 Email : Daniel.ocampo@zetes.com ; bart.symons@zetes.com ; sylvain.constancio@zetes.com
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Audit Period covered for all policies:	2020-03-13 to 2021-03-12
Audit dates:	2021-03-08 to 2021-03-12
Audit Location:	Villalaan 13 – 1601 Sint-Pieters-Leeuw – Belgium (AC / OC – main site) Rue de Strasbourg 3 – 1130 Brussels – Belgium (DRS)

Identification of the audited Root-CA:	<i>ZETES TSP ROOT CA 001</i>	
	Distinguished Name	CN=ZETES TSP ROOT CA 001 C=BE O=ZETES SA (VATBE-0408425626) serialNumber=001
	SHA-256 fingerprint	3753D295FC6D8BC39B375650BFFC821A ED504E1A
	Certificate Serial number	02541AA950D7CE1F
	Applied policy	Policy: X509v3 Any Policy
	Validity	<i>20 May 2016 until 20 May 2036</i>

The audit was performed as full period of time audit remotely. It took place from 2021-03-08 until 2021-03-12 and covered the period from 2020-03-12 until 2021-03-12. The audit was performed according to the European Standards “ETSI EN 319 411-2, V2.2.2 (2018-04)”, “ETSI EN 319 411-1, V1.2.2 (2018-04)” and “ETSI EN 319 401, V2.2.1 (2018-04)” as well as

CA Browser Forum Requirements “EV SSL Certificate Guidelines, version 1.7.1” and “Baseline Requirements, version 1.6.7” considering the requirements of the “ETSI EN 319 403-1 V2.3.1 (2020-06)” and “ETSI TS 119 403-2 V1.2.4 (2020-11)” for the Trust Service Provider Conformity Assessment.

The full annual audit was based on the following policy and practice statement documents of the TSP:

- [CP/CPS] ZETES TSP ROOT CA 001, Certificate Policy & Certification Practice Statement, Version 1.3, effective date: 05.10.2020
- [CP] ZETES TSP QUALIFIED CA 001, Certificate Policy for Signature Creation Service Certificates, Version 1.5, effective date: 08.03.2020
- [CP] Certificate Policy for certificates issued on behalf of OVB-OBFG-OAC, Version 1.8, effective date: 08.03.2020
- [CPS] Certificate Policy & Certification Practice Statement for certificates for signature creation service, Version 1.6, effective date: 08.03.2020
- [CPS] Certification Practice Statement for the ZETES TSP Qualified CA 001, Version 1.8, effective date: 11.12.2020
- [TSPS] ZETESCONFIDENS, Trust Services Practice Statement, Version 1.4, effective date: 08.03.2020
- [TSA] ZETESCONFIDENS, TSA Practice Statement and Time-Stamp Policy, Version 1.3, effective date: 23.03.2020
- [TSA] ZETESCONFIDENS, TSA Certification Practice Statement and Certificate Policy, Version 1.3, effective date: 12.05.2020
- [PDS] ZETESCONFIDENS, PKI Disclosure Statement for OVB-OBFG-OAC Certificates, Version 1.5, date: 08.05.2020
- [PDS] ZETESCONFIDENS, Disclosure Statements for the Time-Stamp CA and for the Time-Stamp Services
- [PDS] ZETESCONFIDENS, PKI Disclosure Statement – Certificates for the ZETESCONFIDENS Signature Creation Service, Version 1.1, date: 24.07.2020

In the following areas, non-conformities have been identified throughout the audit:

Findings regarding ETSI EN 319 401 :

- [REQ-5-02] More documentation needed

Findings regarding ETSI EN 319 411-1:

- [OVR-6.9.4-02] More documentation needed
- [Clause 7.13] More Documentation needed

All non-conformities have been closed before the issuance of this attestation.

The remediation measures taken by Zetes as described on Bugzilla (see link above) have been checked by the auditors and properly addressed the incident. The long-term effectiveness of the measures will be rechecked at the next regular audit.

The Sub-CA that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The TSP assured that all non-revoked Sub-CA that are technically capable of issuing server or email certificates and that have been issued by this Root-CA are in the scope of regular audit.

Distinguished Name	SHA-256 fingerprint	Applied policy OID	EKU
CN=ZETES TSP QUALIFIED CA 001 C=BE O=ZETES SA (VATBE-0408425626) serialNumber=001	1698DC47F4F5FF956C560324E1965AA7ED38E29D	1.3.6.1.4.1.47718.2.1.2.2.1.10 (NCP+) 1.3.6.1.4.1.47718.2.1.2.2.3.10 (QCP-n-qscd) 1.3.6.1.4.1.47718.2.1.2.2.4.1 (LCP) 1.3.6.1.4.1.47718.2.1.2.2.4.2 (NCP+) 1.3.6.1.4.1.47718.2.1.2.2.4.3 (QCP-n-qscd)	n.a
CN=ZetesConfidens - Signature Creation Service - Issuing CA 001 C=BE O=ZETES SA (VATBE-0408425626) serialNumber=001	369B535613C8902727507B926D3F57F5423128E0	1.3.6.1.4.1.47718.2.1.2.5.1 (LCP certificates) 1.3.6.1.4.1.47718.2.1.2.5.2 (NCP+ certificates) 1.3.6.1.4.1.47718.2.1.2.5.3 (QCP-n-qscd certificates)	n.a
CN=ZETES TSP CA FOR TSA 001 C=BE O=ZETES SA (VATBE-0408425626) serialNumber=001	3702B9F177AFAA8D077C06C3E49482C5A175D32C	1.3.6.1.4.1.47718.2.1.2.50	n.a

Table 1: Sub-CA's issued by the Root-CA or its Sub-CA's



CONFORMITY ASSESSMENT BODY
EIDAS
TRUST SERVICE PROVIDERS
ISO 27001
LA ISO 27001
LI ISO 27001
RM ISO 27005

Modifications record

Version	Issuing Date	Changes
Version 1	2021-06-21	Initial attestation

End of the audit attestation letter.